Simulation-based Security and Joint State Theorems in the IITM Model

Ralf Küsters

University of Trier

Based on: Datta, K., Mitchell, Ramanathan, TCC 2005

K., Datta, Mitchell, Ramanathan, Journal of Cryptology 2008

K., CSFW 2006

K., Tuengerthal, CSF 2008

K., Tuengerthal, CSF 2009

K., Tuengerthal, new result

Overview

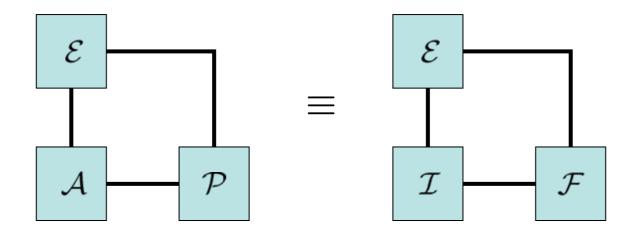
- Subtleties in Simulation-based Models
- The IITM Model
- Motivating Joint State
- General Joint State Theorem
- Applications: new functionalities with joint state
 - * Public-key encryption and digital signatures
 - * Symmetric encryption
 - Several applications, including a new computational soundness result
- Conclusion

Models for Simulation-Based Security

- UC model [Canetti 2001]
- Reactive Simulatability
 [Pfitzmann, Waidner 2001; Backes, Pfitzmann, Waidner, 2004]
- (Sequential) Probabilistic Process Calculus [Lincoln, Mitchell, Mitchell, Scedrov, 1998;
 Datta, K., Mitchell, Ramanathan, 2005]
- Task PIOA
 [Canetti, Cheung, Kaynar, Liskov, Lynch, Peireira, Segala, 2006]
- IITM model [K., 2006]
- [Hofheinz, Unruh, Müller-Quade, 2009]

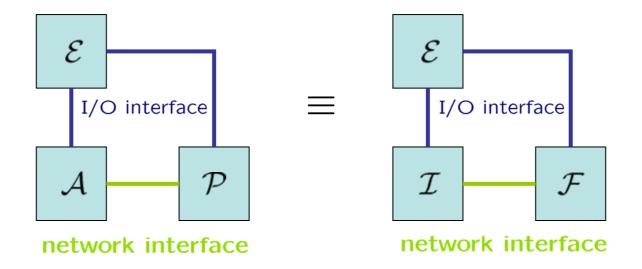
Universal Composability

 \mathcal{P} and \mathcal{F} are UC if $\forall \mathcal{A} \exists \mathcal{I} \forall \mathcal{E}$:



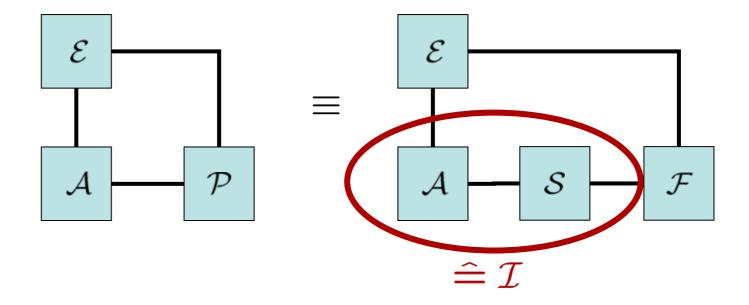
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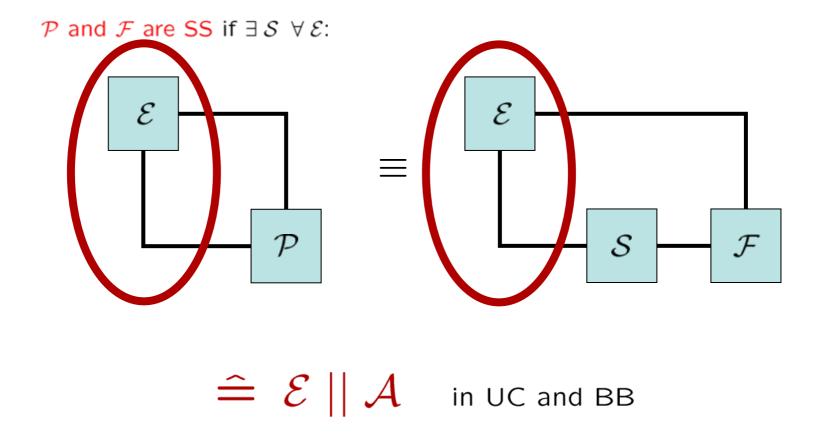


(Strong) Black-box Simulatability

\mathcal{P} and \mathcal{F} are SBB if $\exists \mathcal{S} \ \forall \mathcal{A} \ \forall \mathcal{E}$:



Strong Simulatability



Subtelties in Simulation-Based Models

So, several models, security notions, and assumptions in different papers ...

... confusing at first sight

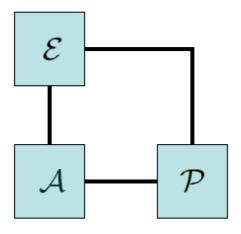
Let's look at two issues more closely

1. Master Process

2. Runtime of ITMs

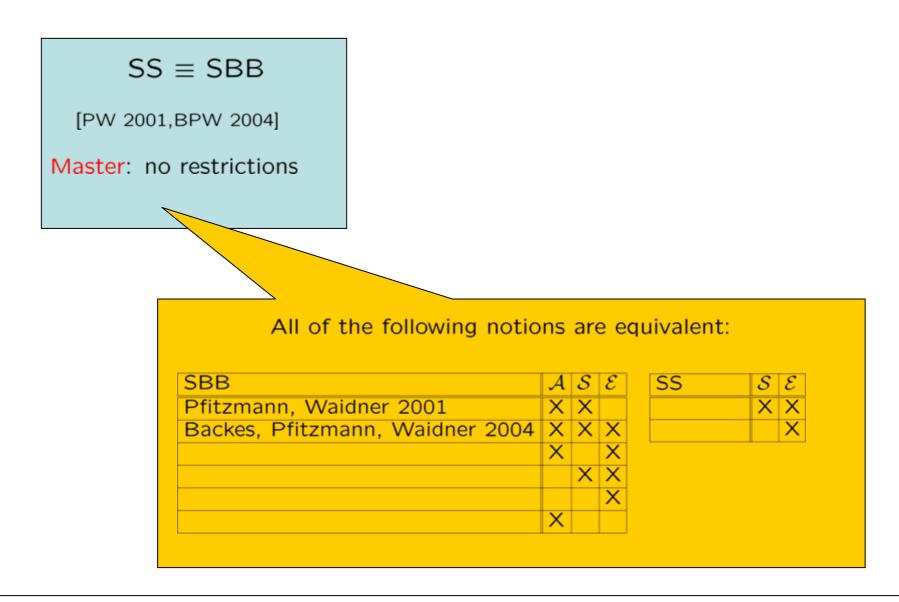
Master Process

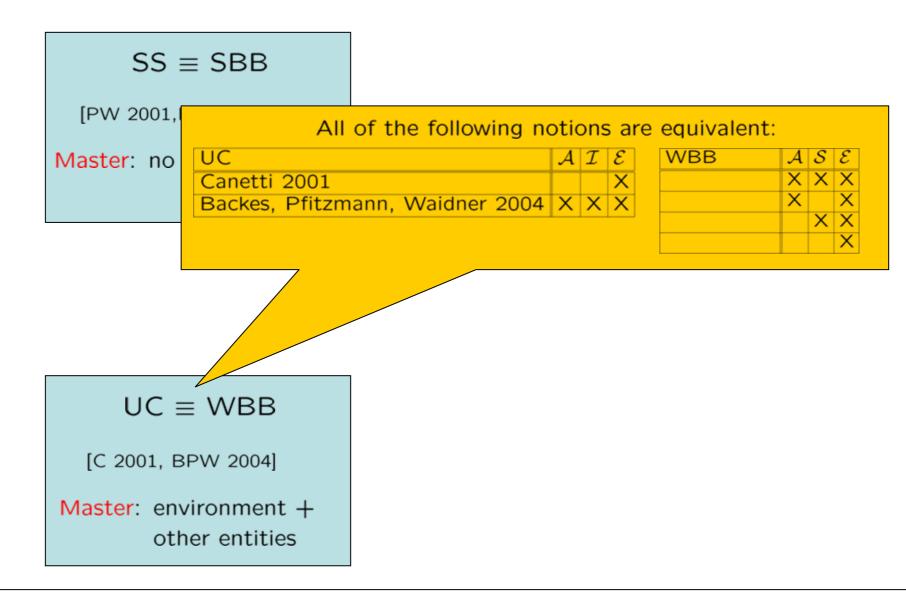
Master process: Is triggered if no other process can go.



Who should play the role of the master process?

The literature provides different answers yielding different variants of security notions.





 $SS \equiv SBB$

[PW 2001,BPW 2004]

Master: no restrictions

WBB ABE

UC ≡ WBB

[C 2001, BPW 2004]

Master: environment + other entities

Master: only adversary

WBB

SS ≡ SBB

[PW 2001,BPW 2004]

Master: no restrictions

UC ≡ WBB

[PW 2001]

Master: not environment

All of the following notions are equivalent:

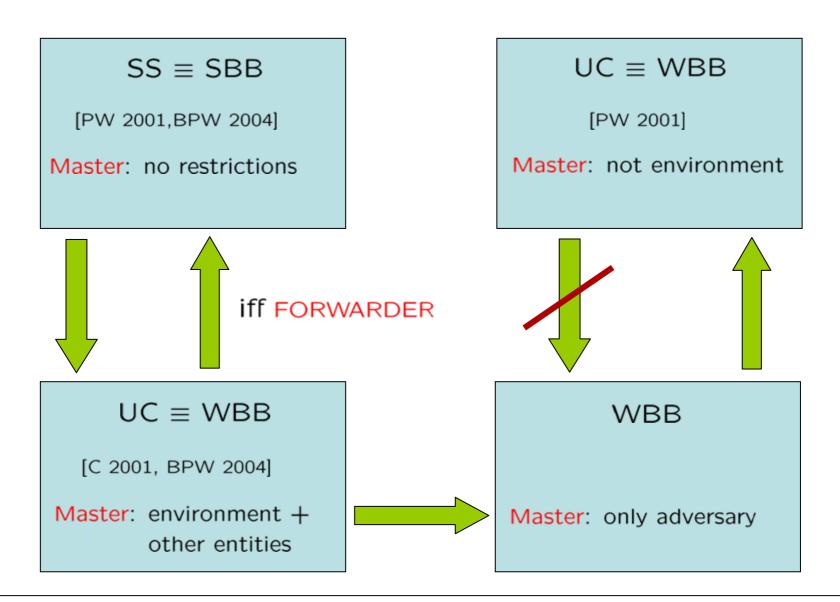


[C 2001, BPW 2004]

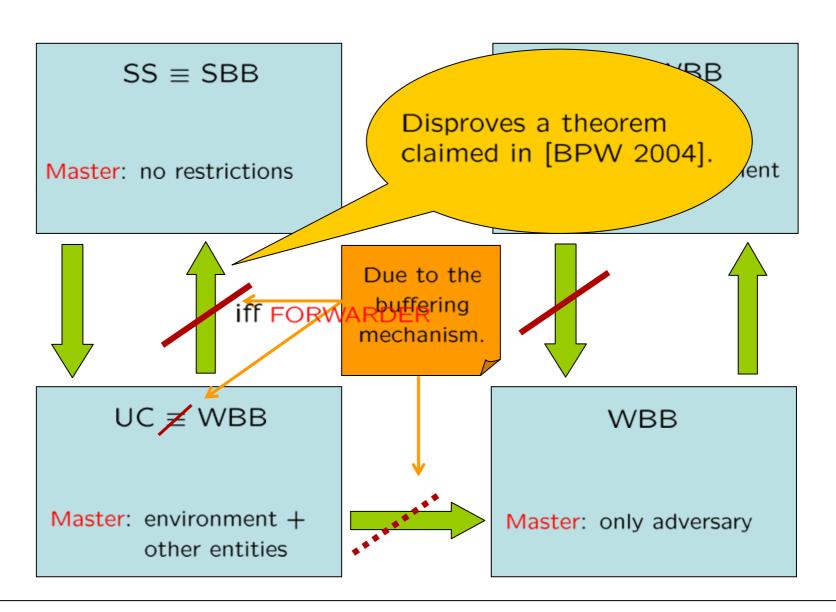
Master: environment + other entities

Master: only adversary

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Relationships in BPW Model



Subtelties in Simulation-Based Models

1. Master Process

2. Runtime of ITMs

Runtime of ITMs

- [Canetti 2001]
 - Interactive Turing machines (ITMs).

(dummy) Universal Composability (UC).

Total runtime of components (ITMs, PIOAs, processes) is polynomially bounded in security parameter alone and independent of external input.



Systems run in polynomial time.

outea, reasts

Probab
 Polynomial Time Process Calculi.

bve notions and strong simulatability (SS).

exhaustible ITMs

-AII

Drawbacks of Models with Exhaustible ITMs

Example

Ideal protocol behaves the same as the real protocol except that before sending a message on the network the bit-wise complement is taken.

Surprisingly: Real protocol in general does not black-box realize the ideal protocol.

Problem is closely related to the FORWARDER property:

$$\mathcal{P} \parallel \mathcal{Q} \equiv \mathcal{P} \parallel \mathcal{D} \parallel \mathcal{Q}.$$

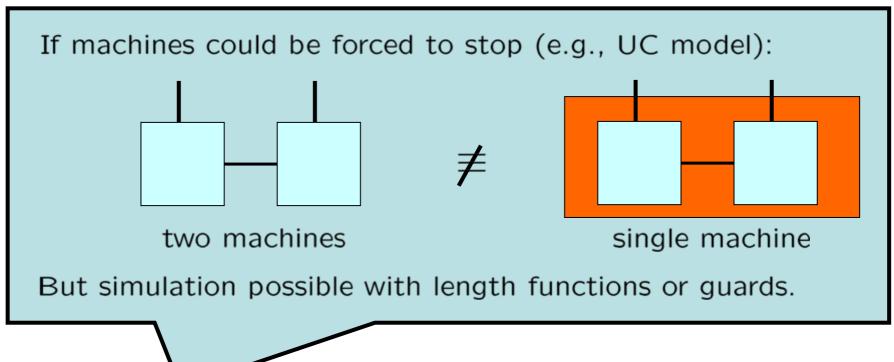
[Datta, Küsters, Mitchell, Ramanathan 2005]

Unintuitive and

behavior:

- Almost identical protocols are not black-box simulatable.
- Parallel composition of two or more protocols/process/machines cannot be simulated by one ITM (needed for the Joint State Theorem [Canetti, Rabin 2003]).

Drawbacks of Models with Exhaustible ITMs



- Almo ___entical protocols are not black-box simulatable.
- Parallel composition of two or more protocols/process/machines cannot be simulated by one ITM (needed for the Joint State Theorem [Canetti, Rabin 2003]).

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- Motivating Joint State
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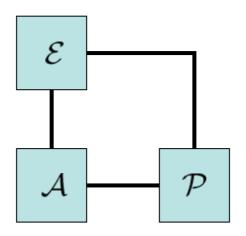
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The IITM Model

- General computational model
 - Inexhaustible Interactive Turing Machines (IITMs)
 - System of IITMs

- Simulation-based security
 - Security notions
 - Composition theorems

Combining ITMs is not so easy ...



Non-terminating system

Imposing a global polynomial bound does not work.

The IITM Model

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Inexhaustible Interactive Turing Machine (IITM)

Generic addressing mechanism
 (no specific addressing mechanism, e.g., based on SIDs/PIDs, is fixed)

• Runtime may depend on length of input

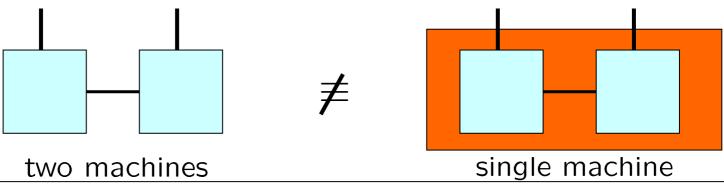
• Can be activated an unbounded number of times

• Can perform ppt computation in every activation

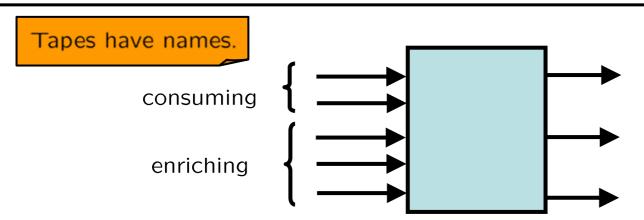
yields
more useful
functionalities
and more
natural
properties

 \Rightarrow no exhaustion

If machines could be forced to stop (e.g., UC model):



Inexhaustible ITMs (IITMs)



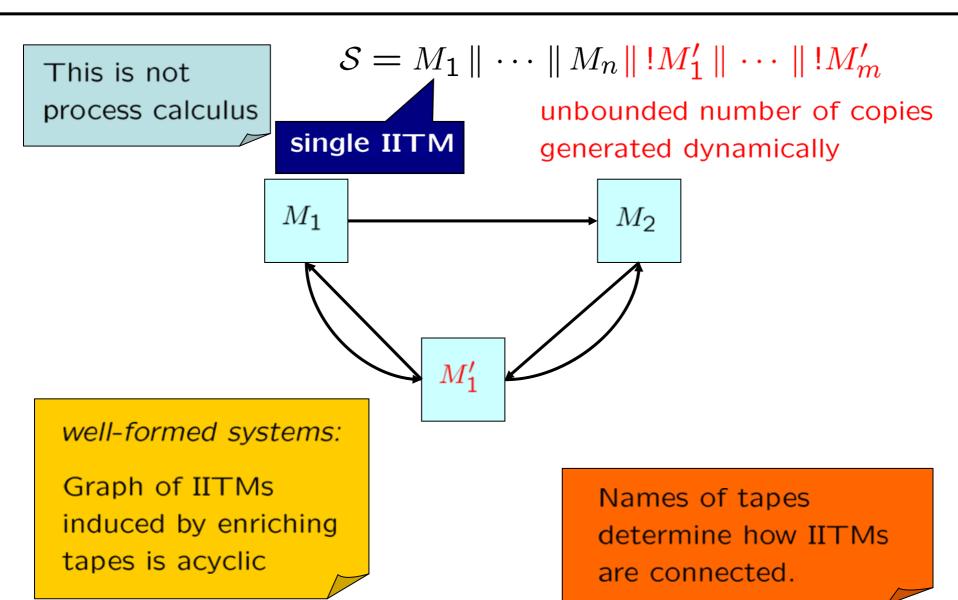
- Per activation: polynomially bounded computation in
 - * length of current input
 - * length of current configuration
 - * security parameter
 - ⇒ ITM can read every message and can scan entire configuration in every activation.
 - \Rightarrow No exhaustion.
- Length of output and configuration is polynomially bounded in security parameter plus length of input received on enriching tapes so far.

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Systems of IITMs



Properties of Systems of IITMs

Lemma: Well-formed systems run in ppt.

Lemma: There exists a FORWARDER IITM \mathcal{D} :

$$\mathcal{P} \parallel \mathcal{Q} \equiv \mathcal{P} \parallel \mathcal{D} \parallel \mathcal{Q}$$

 \mathcal{D} : independent of \mathcal{P} and \mathcal{Q} , all tapes are enriching.

Lemma: Given systems \mathcal{Q}_1 and \mathcal{Q}_2 with $\mathcal{Q}_1 \mid\mid \mathcal{Q}_2$ well formed, then there exists an IITM M s.t.

$$\boxed{\mathcal{Q}_1 \mid\mid \mathcal{Q}_2 \equiv \mathcal{Q}_1 \mid\mid M}$$

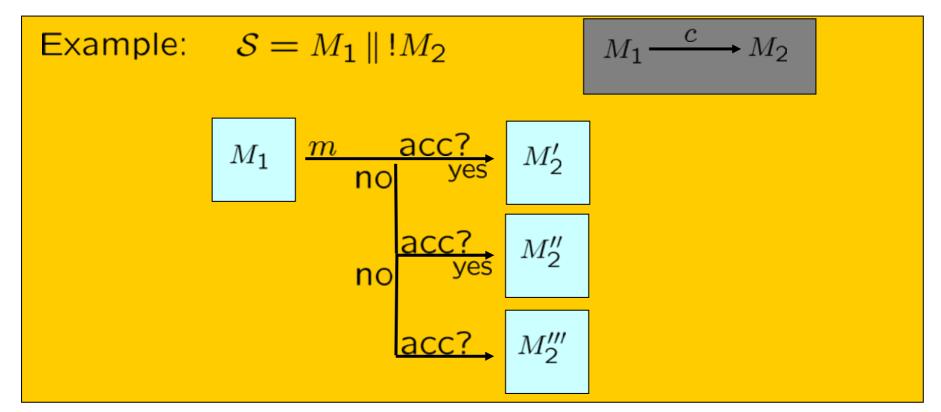
(Needed, e.g., in joint state theorem)

Copies of IITMs and the Generic Addressing Mechanism

IITMs run in one of two modes:

Check address

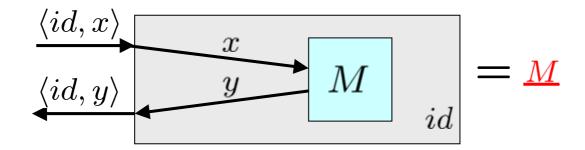
generic addressing mechanism



Copies of IITMs and the Generic Addressing Mechanism

ID version of M:

(allows to address multiple copies of M)



ID can be SID (session version) or PID (party version) of M

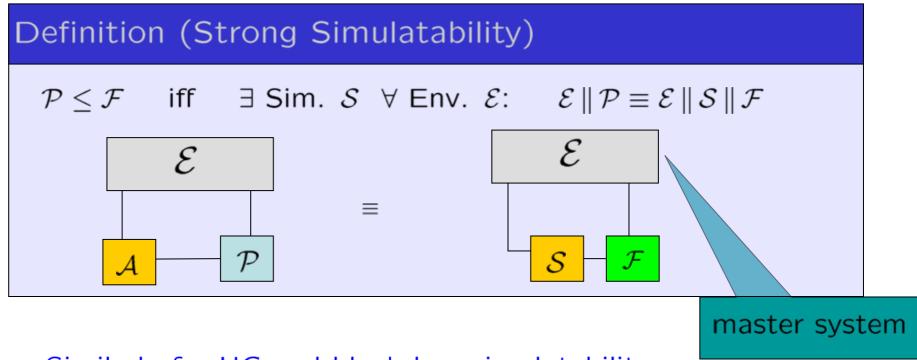
The IITM Model

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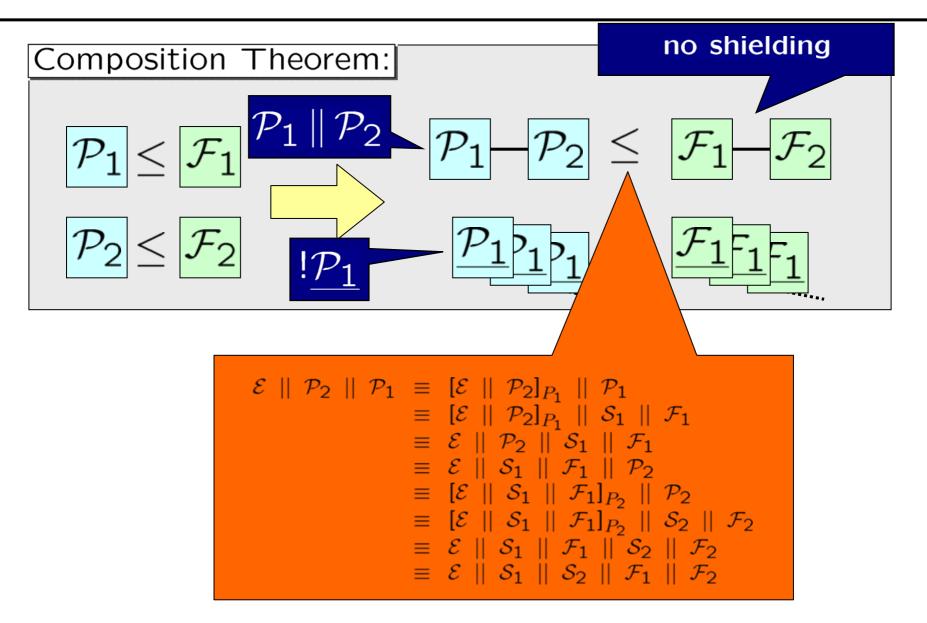
Security Notions



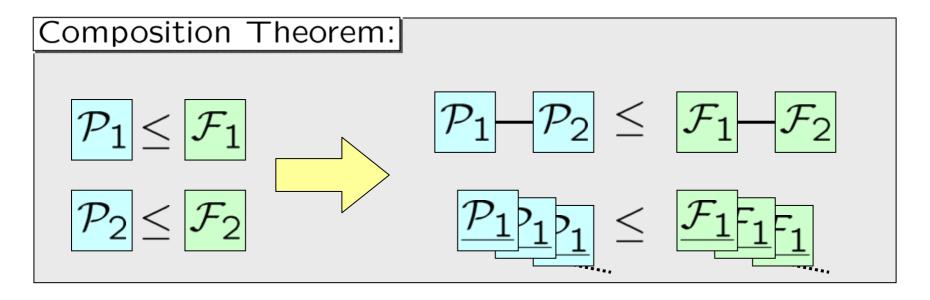
- Similarly for UC and black-box simulatability
- No unnecessary details need to be fixed in the IITM model
 - * Corruption Part of the description of protocols

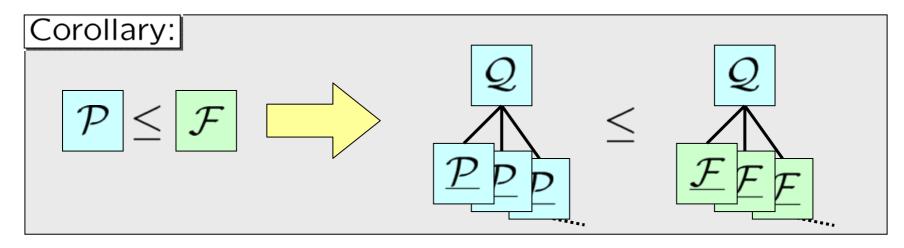
 * Addressing (⇒ flexible and expressive)

Composition Theorem in IITM Model



Composition Theorem in IITM Model





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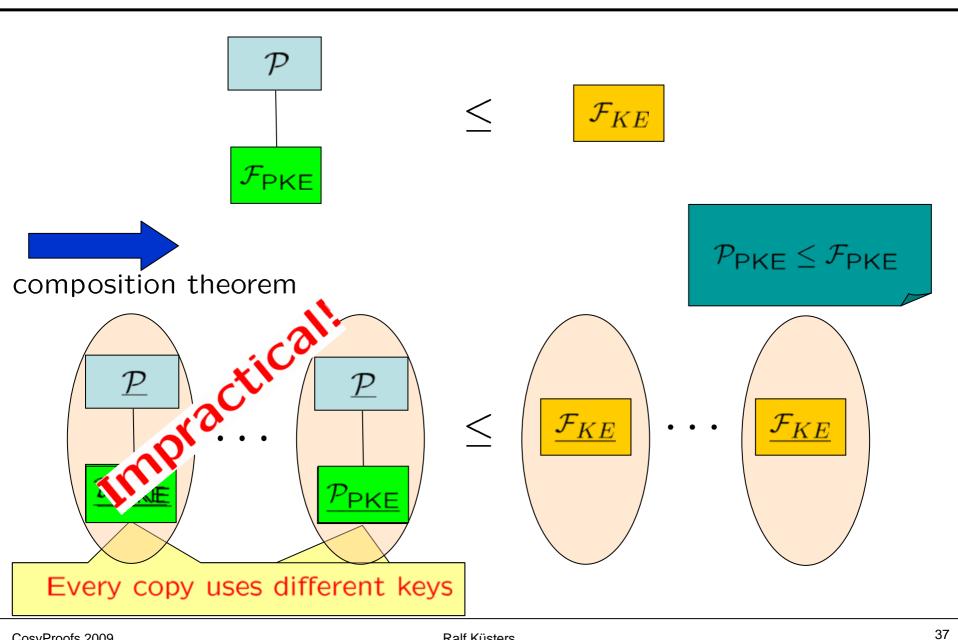
Models for Simulation-Based Security

- [Pfitzmann, Waidner 2001]
 [Backes, Pfitzmann, Waidner 2004]
 [Hofheinz, Müller-Quade, Unruh, 2005/2009]
- [Mitchell, Ramanathan, Scedrov, Teague, 2001] [Datta, Küsters, Mitchell, Ramanathan, 2005]
- [Canetti, Cheung, Kaynar,
 Liskov, Lynch, Pereira, Segala, 2006]
- UC model [Canetti 2001e11]
- IITM model [Küsters, 2006]

Comparison: [Datta, Küsters,

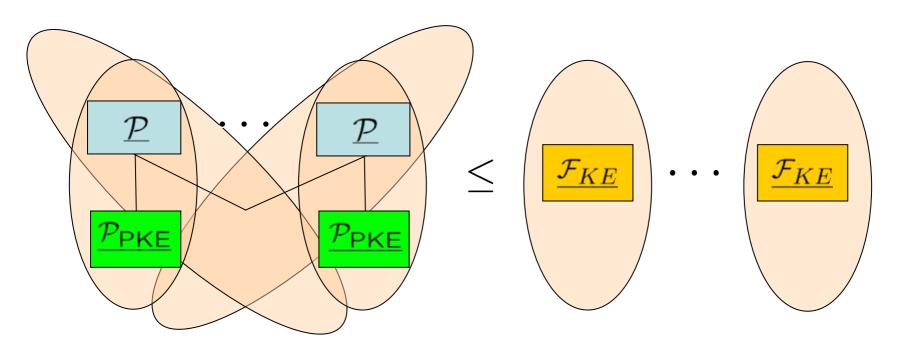
Mitchell, Ramanathan 2005/2008]

Motivating Joint State



Motivating Joint State

We rather want:

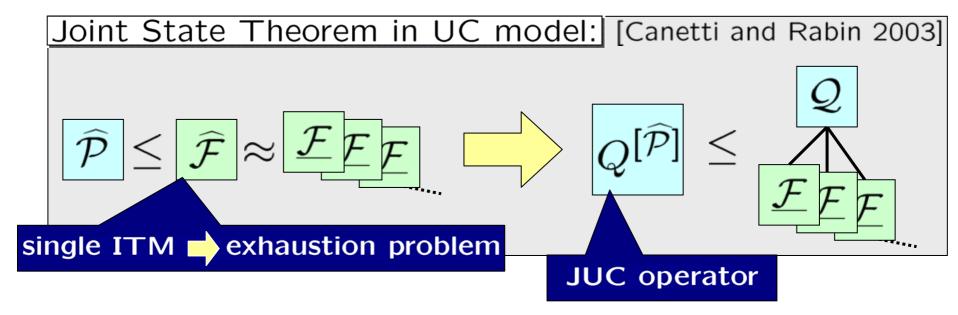


Joint State

Overview

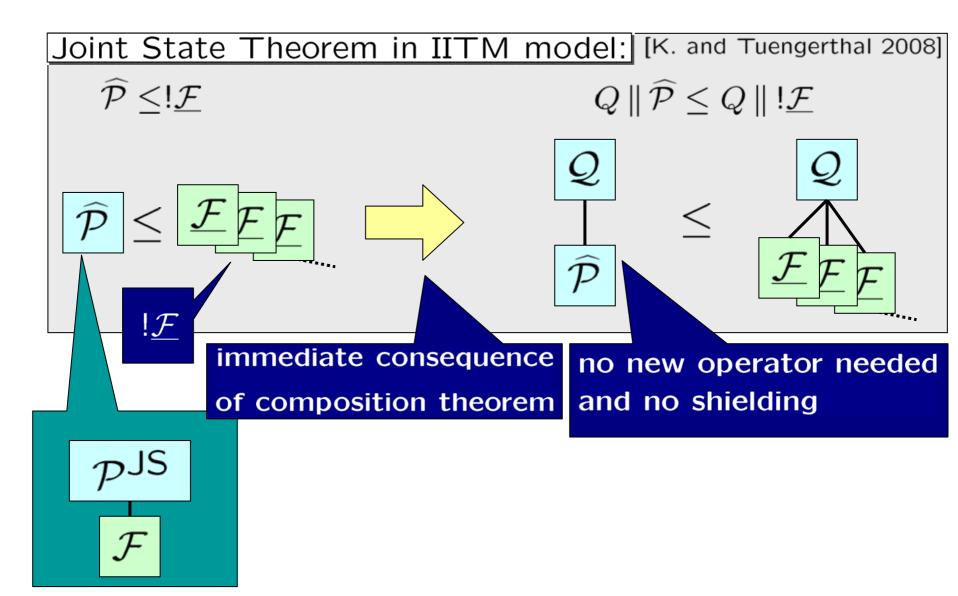
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General Joint State Theorem – UC Model

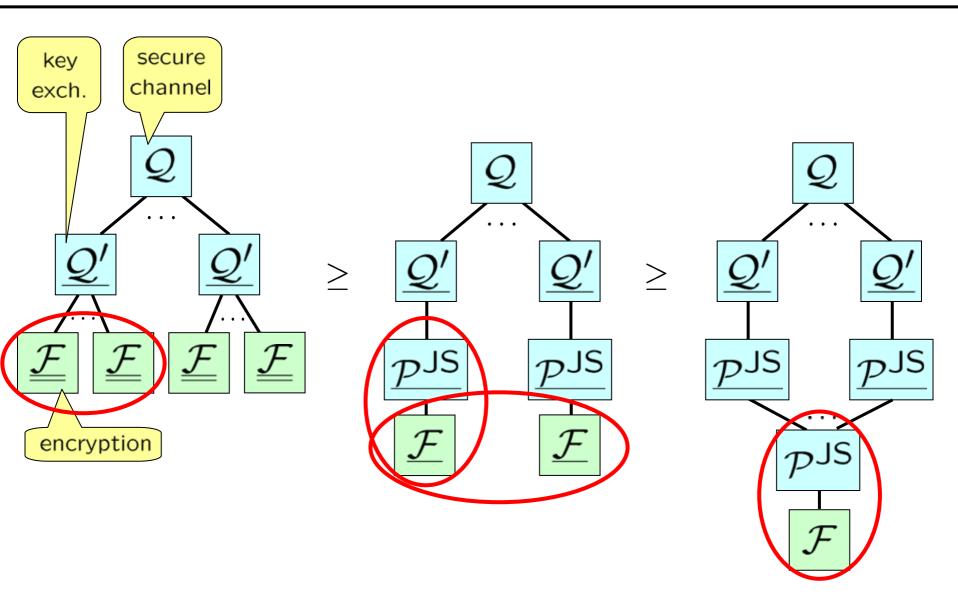


Conceptually a good idea, but technically the theorem is flawed

General Joint State Theorem – IITM Model



Iterative Application of the Joint State Theorem



Overview

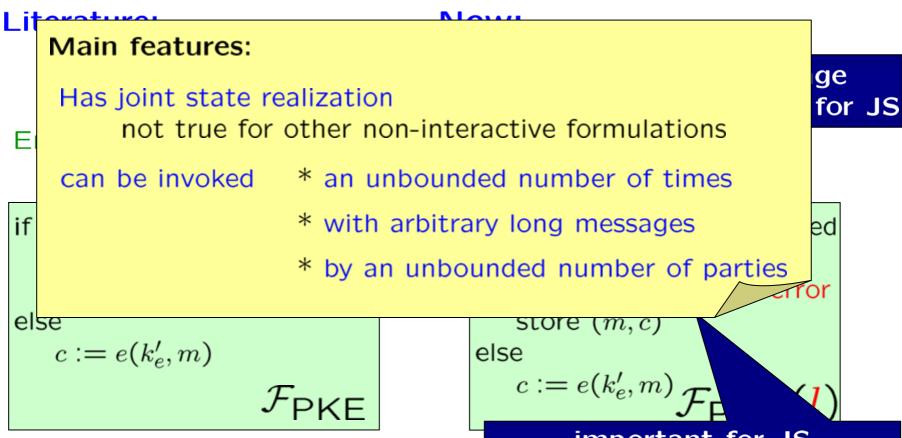
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Ideal Functionality for PKE: $\mathcal{F}_{PKE}(l)$

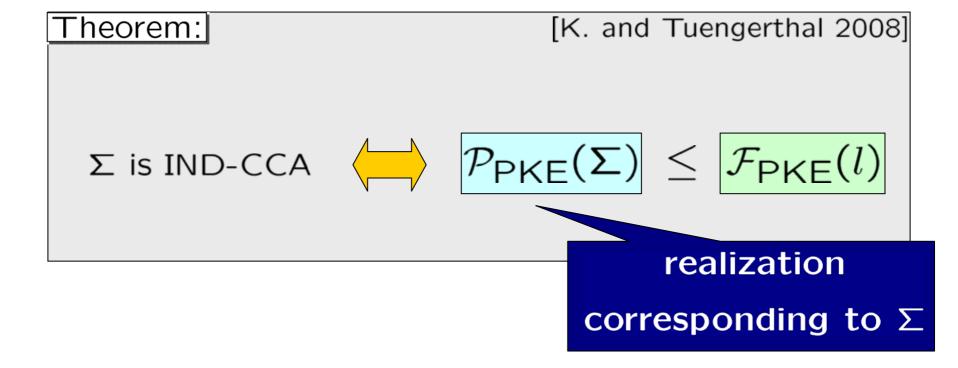
 \mathcal{F}_{PKE} : used by one decryptor and arbitrary many encryptors.

 $l: \{0,1\}^* \to \{0,1\}^*$ models **leakage**, e.g., $l_1: m \mapsto 1^{|m|}$

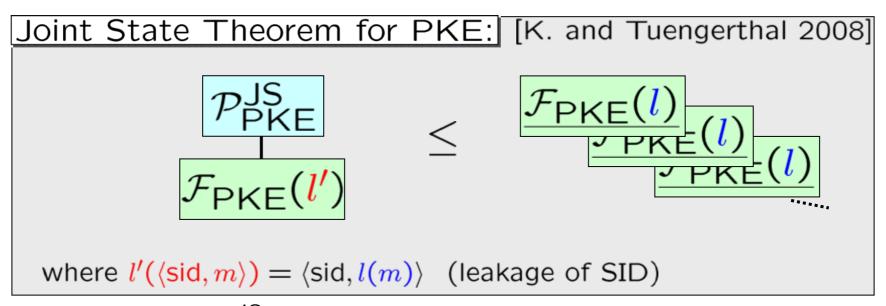


important for JS e and d are provided by the simulations

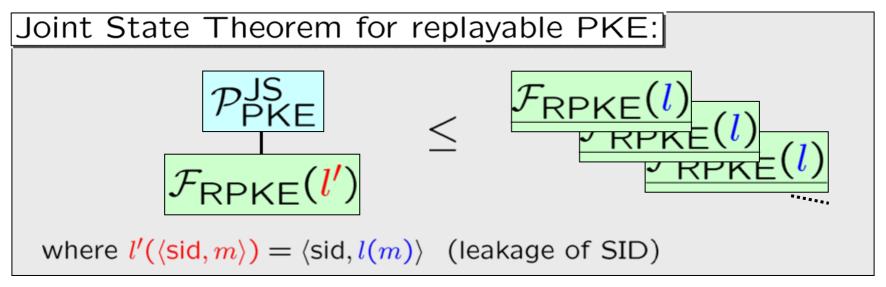
Realizing \mathcal{F}_{PKF} by CCA-Secure Encryption Schemes



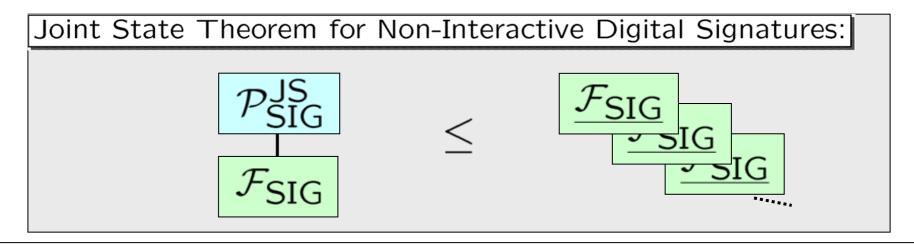
Joint State Realization for PKE



- Basic idea of \mathcal{P}_{PKE}^{JS} , similar to [Canetti, Rabin 2003] and [Canetti and Herzog 2006]
 - Encrypt $\langle sid, m \rangle$ instead of m
 - Upon decryption check if plaintext is of shape $\langle \operatorname{sid}, m \rangle$ (else error)
- But proof has subtleties overlooked in other works



plus realization for $\mathcal{F}_{\mathsf{RPKE}}$ for IND-RCCA secure Σ (IND-RCCA introduced in [Canetti, Krawczyk and Nielsen 2003])



Related Work

- [Canetti, Rabin '03]: First to consider (general) JS theorem
 - JS theorem for interactive \mathcal{F}_{SIG}
 - but flawed
- [Canetti '05]: Non-interactive \mathcal{F}_{PKE} and \mathcal{F}_{SIG}
 - de facto interactive \mathcal{F}_{RPKF}
 - JS theorem claimed, without proof
 - but flawed
- [Canetti, Herzog '06]: Non-interactive, parameterized \mathcal{F}_{PKE}
 - JS theorem claimed, without proof
 - but flawed

[CKN '03]: Interactive \mathcal{F}_{RPKF} (JS not considered)

[Pfitzmann, Waidner '01], [Backes, Pfitzmann, Waidner '03]:

- Non-interactive parameterized $\mathcal{F}_{\mathsf{PKE}}$ and $\mathcal{F}_{\mathsf{SIG}}$
- Unbounded number of copies of machines not considered
- JS theorem not considered

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Functionalities have been developed for

- Digital signatures
- Public key encryption
- Key exchange
- Authentication
- Secure channel
- E-Voting
- Mix-Nets
- MPC
- **–** . . .

Except for a Dolev-Yao style functionality [Backes, Pfitzmann '04], see related work

But not for symmetric key encryption!

Structure of the rest of the talk

- 1. Challenges for ideal symmetric key encryption
- 2. Our symmetric key encryption functionality
- 3. Applications of the functionality
 - Proving a protocol secure
 - Simplifying game-based proofs
 - Computational soundness for UC realization of key exchange
- 4. Related work

Challenges for Ideal Symmetric Key Encryption

 Symmetric keys may travel but must not be given to users

Users need pointers to refer to keys

More complicated than for PKE where private keys stay in functionality

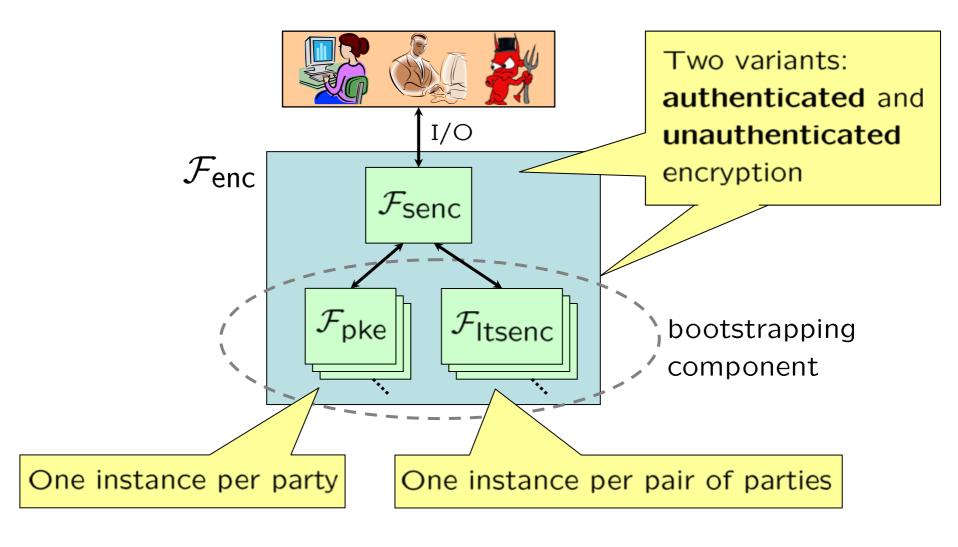
The functionality needs to keep track of who knows which key (including the adversary)

Bootstrapping required
 e.g. from PKE or long-term (pre-shared) symmetric encryption

Cryptographic challenges: Key cycle and commitment problems

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Long-term symmetric key encryption \mathcal{F}_{ltsenc} :

- User commands (I/O):
 - Key exchange: Ask for a key to be exchanged with other party
 - Encrypt m: If corrupt: Return c = enc(m)Else: Return c = enc(L(m)) and record (m, c)
 - Decrypt c: If corrupt: Return m = dec(c)

 $\mathsf{Return}\ m = \begin{cases} m & \mathsf{if}\ \mathsf{recorded}\ (m,c) \\ \mathit{dec}(c) & \mathsf{if}\ \mathsf{variant}\ \mathcal{F}_{\mathsf{ltsenc}}^{\mathsf{unauth}}\ \mathsf{and} \\ c & \mathsf{not}\ \mathsf{recorded} \end{cases}$ otherwise

No assumptions

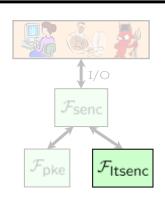
Hence, abstract from algorithms

Leakage, e.g. $L(m) = 0^{|m|}$

- Adversarial commands (network); - Provide: encryption and decryption algorithms $enc(\cdot)$, $dec(\cdot)$
 - Corrupt: static corruption

Long-term symmetric key encryption \mathcal{F}_{Itsenc} :

- Σ symmetric encryption scheme
- Induces the obvious realization $\mathcal{P}(\Sigma)$ (no extra randomness or tagging)



Theorem:

 Σ IND-CCA secure









Σ authenticated encryption scheme



 $\mathcal{P}(\Sigma$

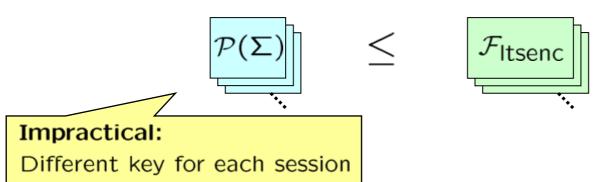
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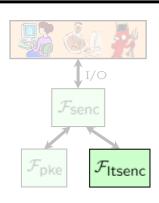
 $\mathcal{F}_{\mathsf{ltsenc}}^{\mathsf{auth}}$

(IND-CPA + INT-CTXT secure)

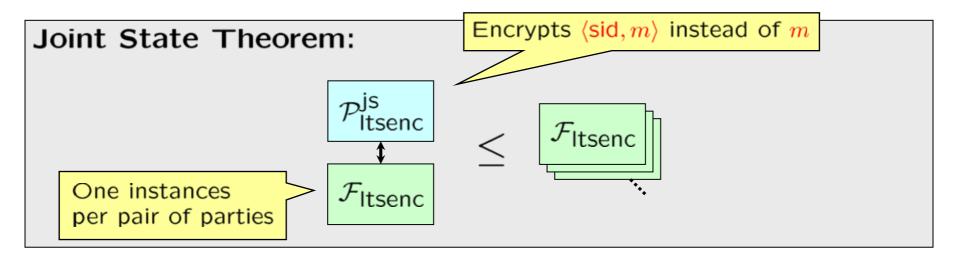
Long-term symmetric key encryption \mathcal{F}_{ltsenc} :

Multi-session case: Composition theorem yields

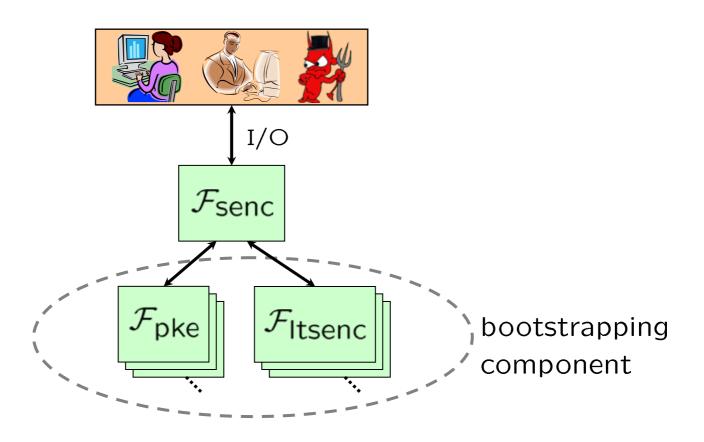




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Holds for both variants unauthenticated and authenticated encryption



Short-term symmetric key encryption \mathcal{F}_{senc} :

JI/O Fsenc

Pointer management:

 For each party, mapping from pointers (N) to keys (bit strings)

$$p\mapsto k_p$$

• Plaintexts m are arbitrary bit strings, may contain "(KeyPtr, p)"

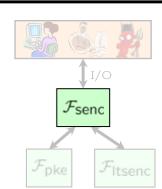
Replace "(KeyPtr, p)" by " (Key, k_p) " before encryption

Replace " (Key, k_p) " by "(KeyPtr, p)" after decryption (create new pointers if necessary)

Keep track of keys "(un)known" to adversary

Short-term symmetric key encryption \mathcal{F}_{senc} :

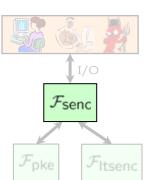
- User commands (I/O):
 - Generate Key: Adversary provides key k (bit string) New pointer p is returned to user
 - Encrypt/Decrypt: Encrypt L(m) if key is "unknown", else encrypt m

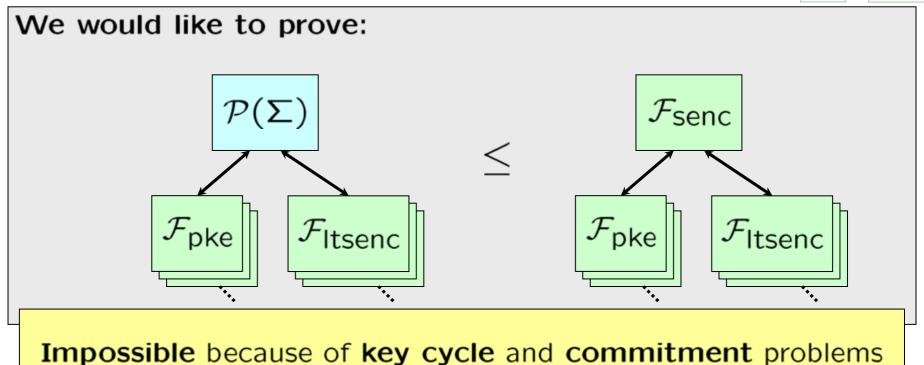


- A key is "unknown" if:
 - (a) it has been provided by the adversary,
 - (b) it is **not corrupt**, and
 - (c) it has never been encrypted by
 - a not "unknown" short-term key, or
 - a corrupt long-term or public key
- Adversarial commands (network):
 - Provide algorithms: $enc(\cdot, \cdot), dec(\cdot, \cdot)$
 - Corrupt: Static corruption (keys corruptible upon generation)

Realizing \mathcal{F}_{senc} :

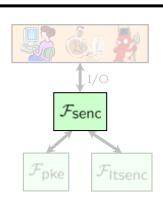
- Σ symmetric encryption scheme
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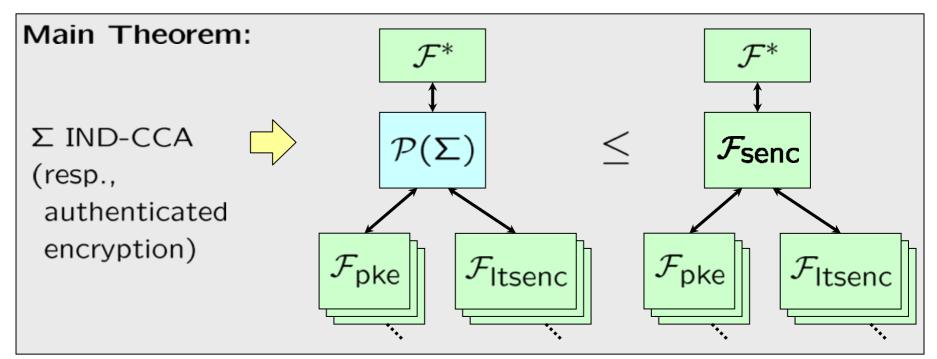


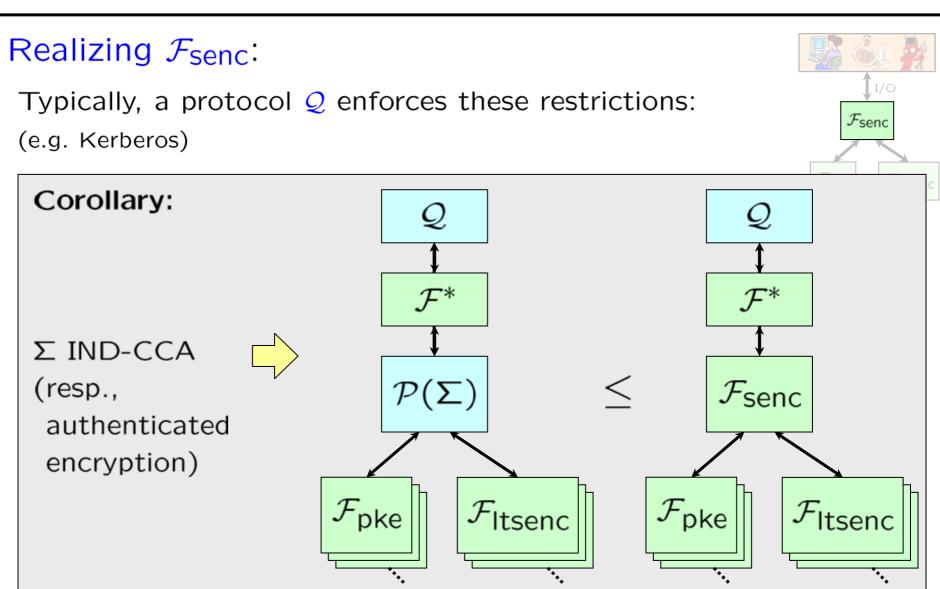
Realizing \mathcal{F}_{senc} :

- Restricting the environment:
 - Used order respecting: [Backes, Pfitzmann '04]
 Keys ordered by first use
 Keys may only encrypt later keys



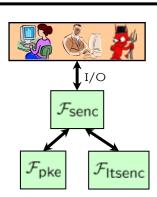
2. Non-committing: An unknown used key must not become known





Short summary:

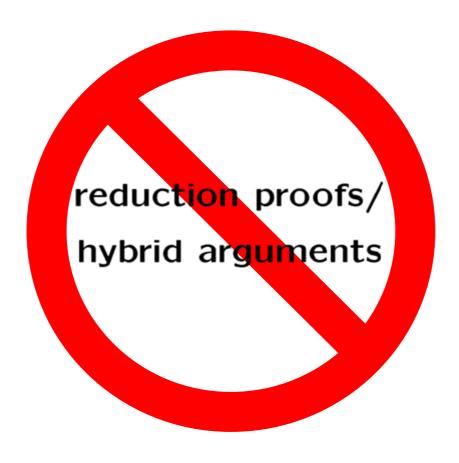
- 1. Broad application, since low level:
 - Plaintexts are arbitrary bit strings
 - Only pointers are interpreted
 - Real ciphertexts are returned to users



- 2. Natural realization and standard cryptographic assumptions
- 3. Modular design
 - Realization of $\mathcal{F}_{\mathsf{senc}}$ independent of realization of $\mathcal{F}_{\mathsf{pke}}$ and $\mathcal{F}_{\mathsf{senc}}$
 - Joint state theorems for \mathcal{F}_{pke} and \mathcal{F}_{ltsenc} can be used
 - Modular proofs

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Applications: Proving a Protocol Secure

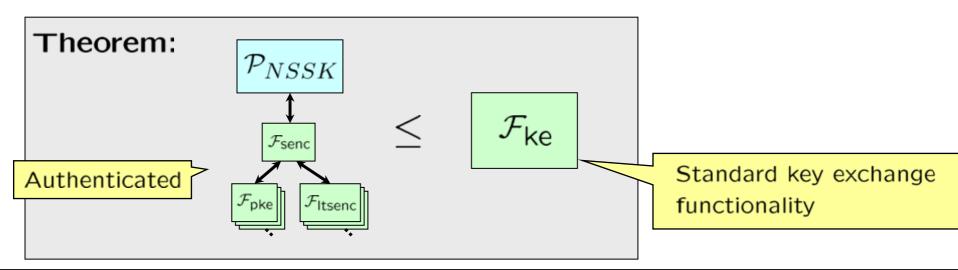
A variant of the Amanded NSSK protocol:

- 1. $B \rightarrow A : \{A, k_B\}_{k_{BS}}$
- 2. $A \to S: A, B, n_A, \{A, k_B\}_{k_{BS}}$
- 3. $S \to A: \{n_A, B, k_{AB}, \{k_{AB}, A\}_{k_B}\}_{k_{AS}}$
- 4. $A \rightarrow B : \{k_{AB}, A\}_{k_B}$

 k_B – short-term key k_{AS} , k_{BS} – long-term keys k_{AB} – session key

 n_A – nonce

ullet Formulate as protocol system \mathcal{P}_{NSSK} which uses $\mathcal{F}_{\mathsf{senc}}$



Applications: Proving a Protocol Secure

Proof of theorem:

- By joint state and compostion theorem we only need to consider a **single instance** between A,B and S
- ullet If somebody is corrupt, simulator can corrupt $\mathcal{F}_{\mathsf{ke}}$ \Longrightarrow done
- If all are uncorrupted:

1.
$$B \rightarrow A : \{A, k_B\}_{k_{BS}}$$

2.
$$A \to S: A, B, n_A, \{A, k_B\}_{k_{BS}}$$

3.
$$S \to A: \{n_A, B, k_{AB}, \{k_{AB}, A\}_{k_B}\}_{k_{AS}}$$

4.
$$A \rightarrow B : \{k_{AB}, A\}_{k_B}$$

- **1.** S uncorrupt $\implies k_{AB} = k'_{AB}$
- 2. All keys are unknown $\Rightarrow k_{AB}$ encrypted ideally indistuinguishable from random

Only possible plaintext in the functionality:

$$(A, k_B)$$
 created by B

$$(k_{AB}, A)$$
 created by S

$$(n_A, B, \mathbf{k}'_{AB}, c)$$
 created by S



Applications: Simplifying Game-based Proofs

Secretive protocols:

[Roy, Datta, Derek, Mitchell '07]

A protocol \$\mathcal{P}\$ is **secretive** w.r.t. a key \$k\$ if \$k\$ is only sent "properly" encrypted

Typically: P KE protocol k the session key

- P secretive w.r.t. k
 - \rightarrow key usability for k
 - \rightarrow key indistinguishability for k if k is not used in protocol

Using \mathcal{F}_{senc} :

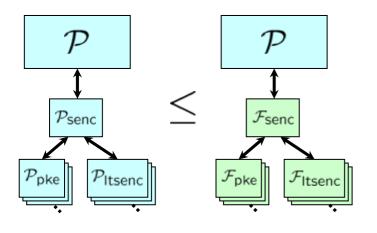
Definition: P is secretive w.r.t. a short-term key k
if k is always "unknown" and
non-committing, used order respecting

Applications: Simplifying Game-based Proofs

Theorem:

- \mathcal{P} is **secretive** w.r.t. k
 - \Rightarrow key usability for k
 - \rightarrow key indistinguishability for k if k is not used in protocol

Proof: (for key indistinguishability)



In the **ideal** world:

- k is never used
- k is only encrypted ideally
- $\implies k$ is indistinguishable from random
- $\rightarrow k$ is indistinguishable from random in the **real** world

Proof for key usability: Similarly simple

New Result:

Computational soundness for realizing key exchange protocols with symmetric encryption in a universally composable way (dishonestly generated keys are allowed)

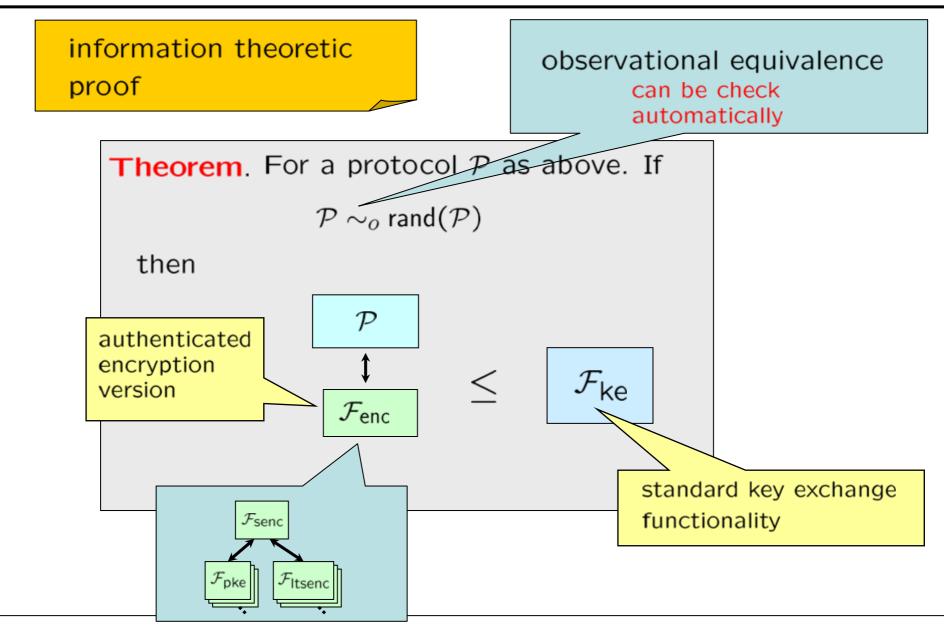
related work [Canetti, Herzog, 2006]

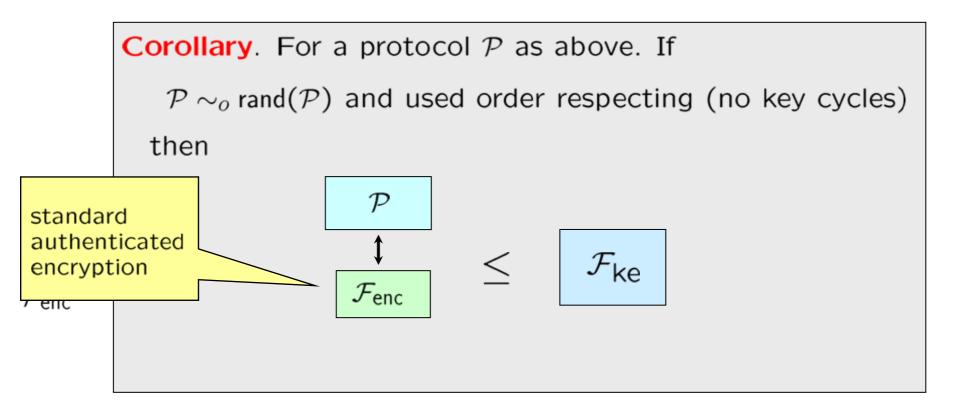
Class of protocols we consider:

- Symbolic protocol with symmetric encryption, pairing, and nonces, similar to Comon-Lundh, Cortier, 2008 (extension with public key encryption should be easy)
- But with branching (if-then-else) and mild tagging in the realization
- Protocol describes single session (use joint state theorem for multiple sessions)
- asy to cnech utomatically

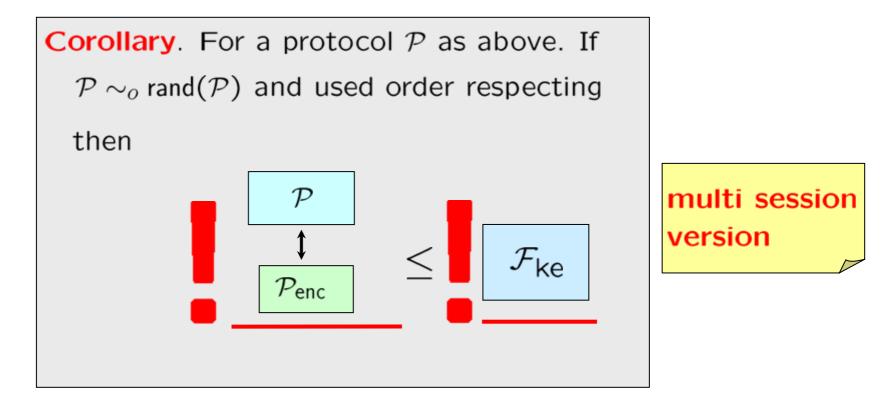
- Protocols have to satisfy the following symbolic criterion:

If all parties involved in the session are uncorrupted, then all short term keys used by the parties are secret (i.e., cannot be derived in the symbolic sense).





Immediate consequence of composition theorem



Immediate consequence of composition theorem

But impractical: new long-term keys are used for every session Practical realization: replace multi session version of $\mathcal{F}_{ltsenc}/\mathcal{P}_{ltsenc}$ by joint state realization

Structure of the rest of the talk

- 1. Challenges for ideal symmetric key encryption
- 2. Our symmetric key encryption functionality
- 3. Applications of the functionality
 - Proving a protocol secure
 - Simplifying game-based proofs
 - Computational soundness for UC realization of key exchange
- 4. Related work

Related Work

Backes, Pfitzmann '04:

- Cryptographic Library
- Abstract Dolev-Yao style interface
- More abstract reasoning
 - But: Have to consider multi session case
 - Limited to operations in the library
 - Realizable only by non-standard encryption schemes

Extra randomness

Adding identifiers for symmetric keys

Only works for honestly generated keys

(restricted class of adversaries) [Cortier, Comon-Lundh, 2008]

Other (not simulation-based):

Soundness of Dolev-Yao style reasoning:

Abadi, Rogaway '00

Laud '04

Comon-Lundh, Cortier '08

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Datta, Derek, Mitchell, Warinschi '06 Formal logic for reasoning:

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Overview

- Subtleties in Simulation-based Models
- The IITM Model
- Motivating Joint State
- General Joint State Theorem
- Applications: new functionalities with joint state
 - * Public-key encryption and digital signatures
 - * Symmetric encryption
 - Several applications, including a new computational soundness result
- Conclusion

Conclusion

 Models for simulation-based security do not have to be complicated

- Simulation-based security is very useful
 - Modular design
 - Simpler analysis
- ... also in game-based settings

FCC 2009: Call for Papers

Workshop on Formal and Computational Cryptography

July 11-12, 2009, Port Jefferson, New York, USA affiliated with CSF 2009

Deadline for submission: April 30, 2009

Submissions: extended abstract (1 page)

- Papers published elsewhere
- New ideas and work in progress